



**FSC® General Assembly 2017**

Vancouver, Canada, 8–13 October

# **Session: Controlled Wood risk assessments and the standard**

Vancouver, 10 October 2017



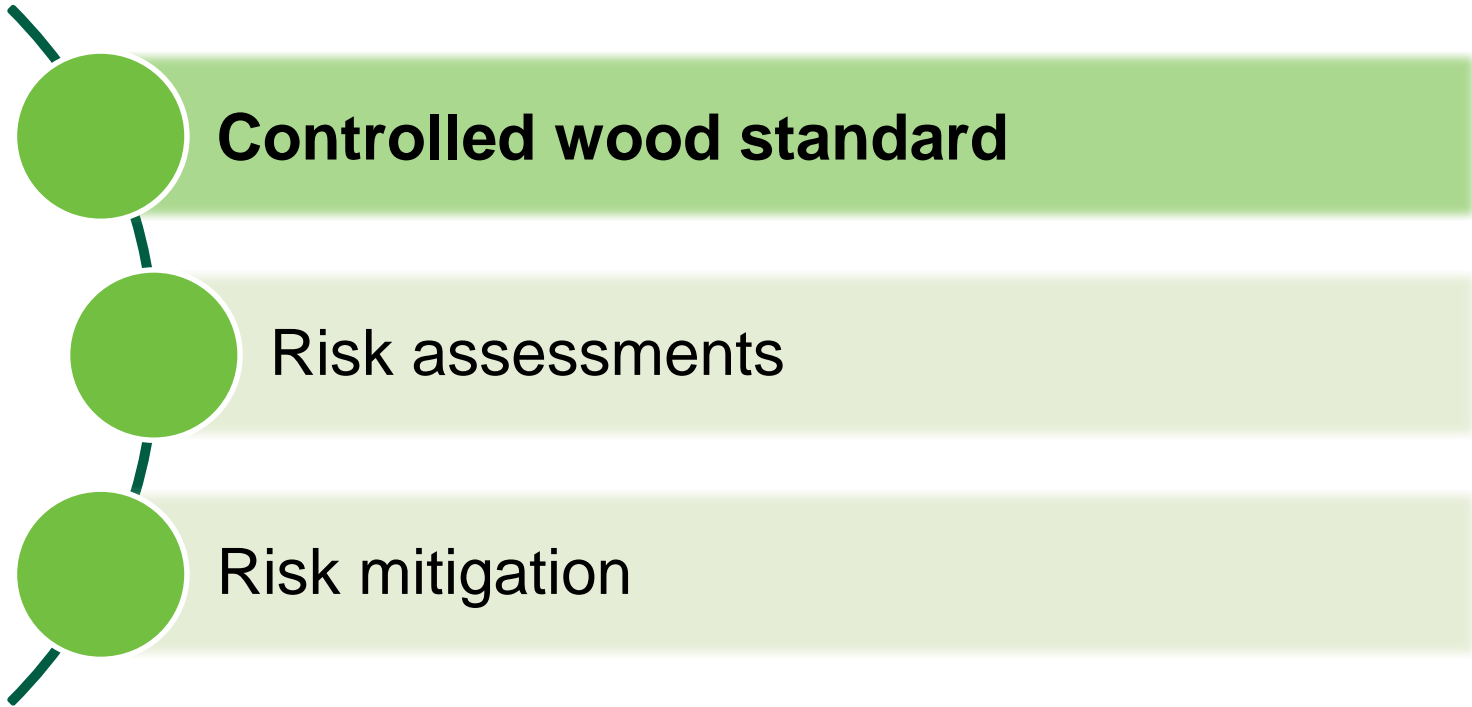


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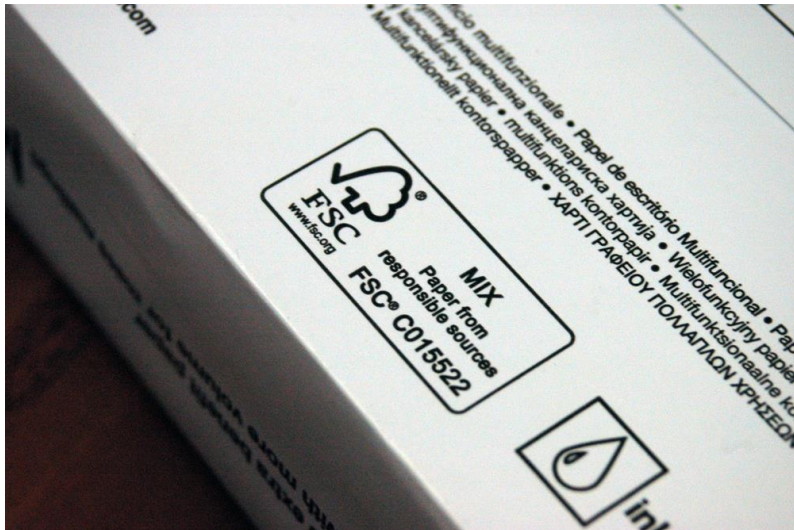


# Controlled wood streams





## What is “controlled wood”?



\*Images in this presentation originate from the internet

Uncertified material to be  
used in the FSC Mix  
products

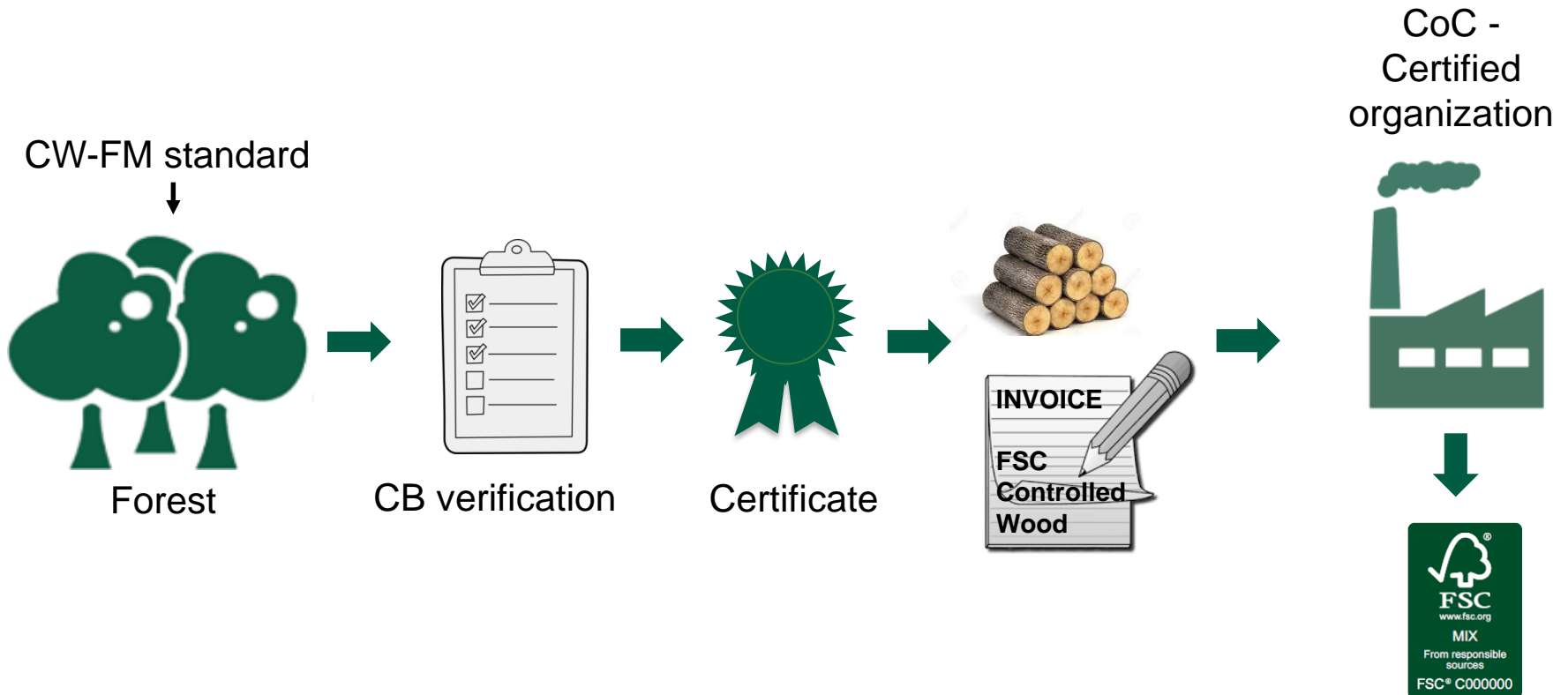
# What can be mixed?



Not everything!  
The 5 unacceptable sources  
Shall be avoided

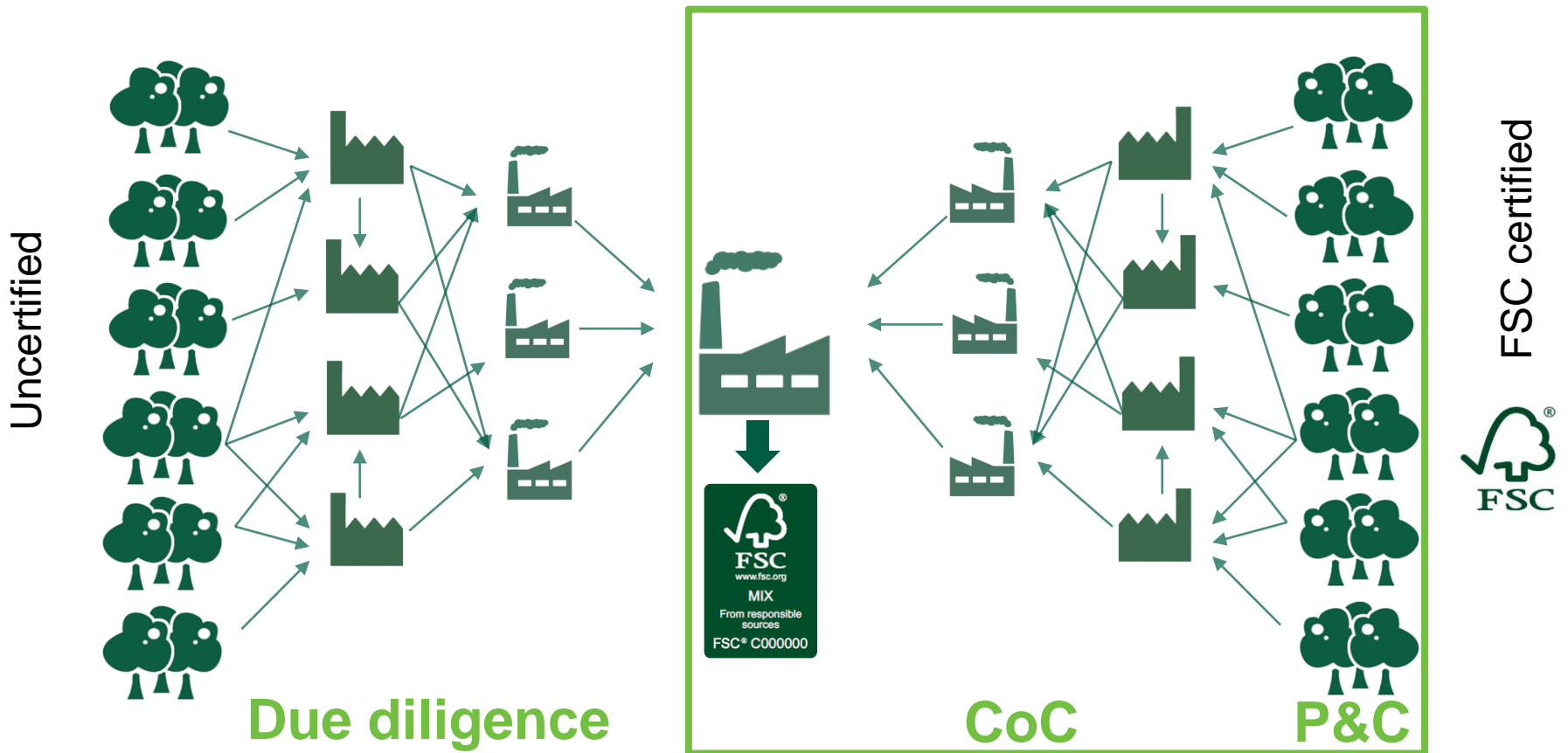
# Where does controlled wood come from?

## A. From CW-FM certified forests



# Where does controlled wood come from?

## B. From forests assessed through CoC due diligence

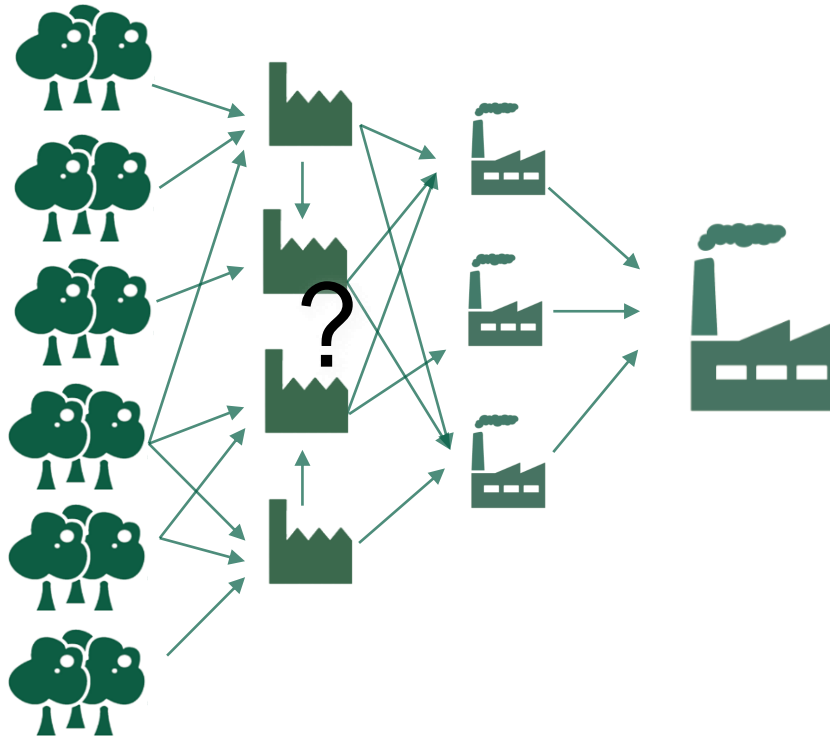




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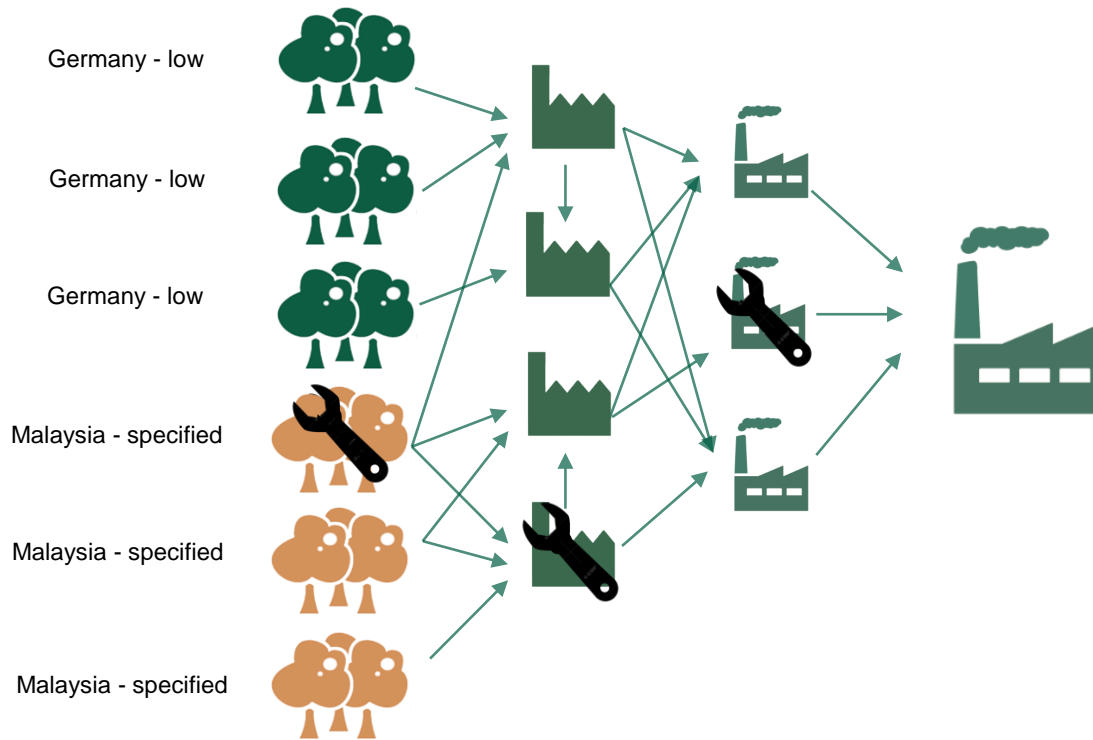
# What is due diligence?



**Information**  
Risk assessment  
Risk mitigation



# What is due diligence?



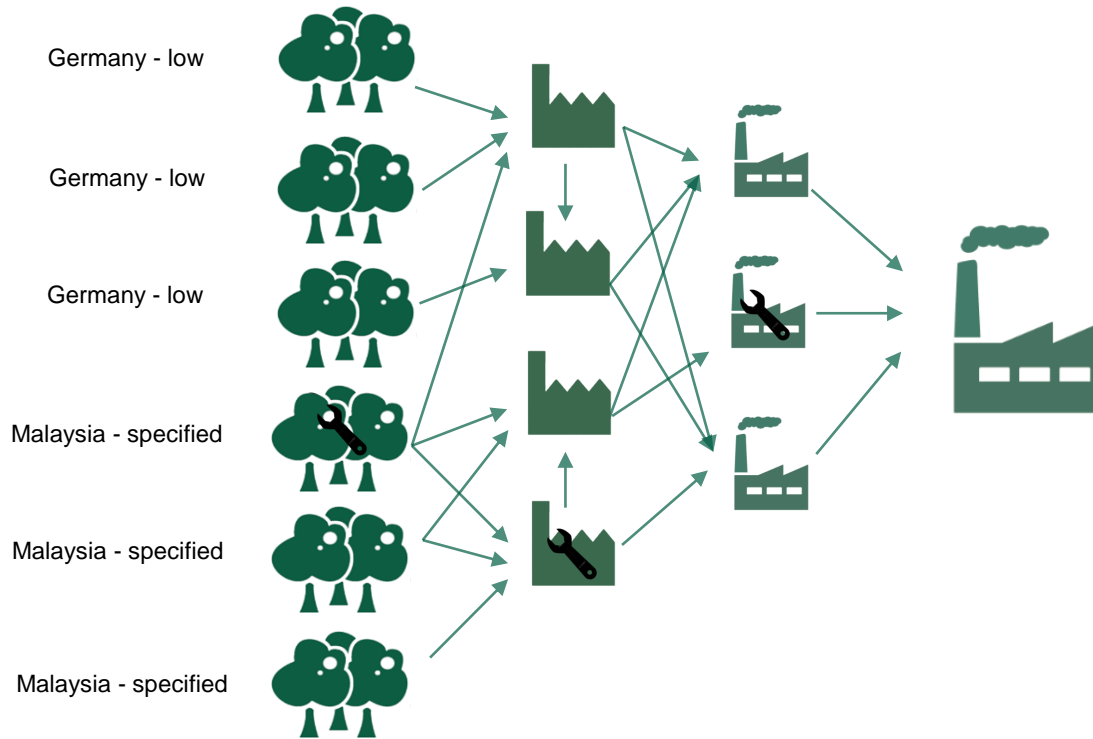
Information  
**Risk assessment**  
Risk mitigation



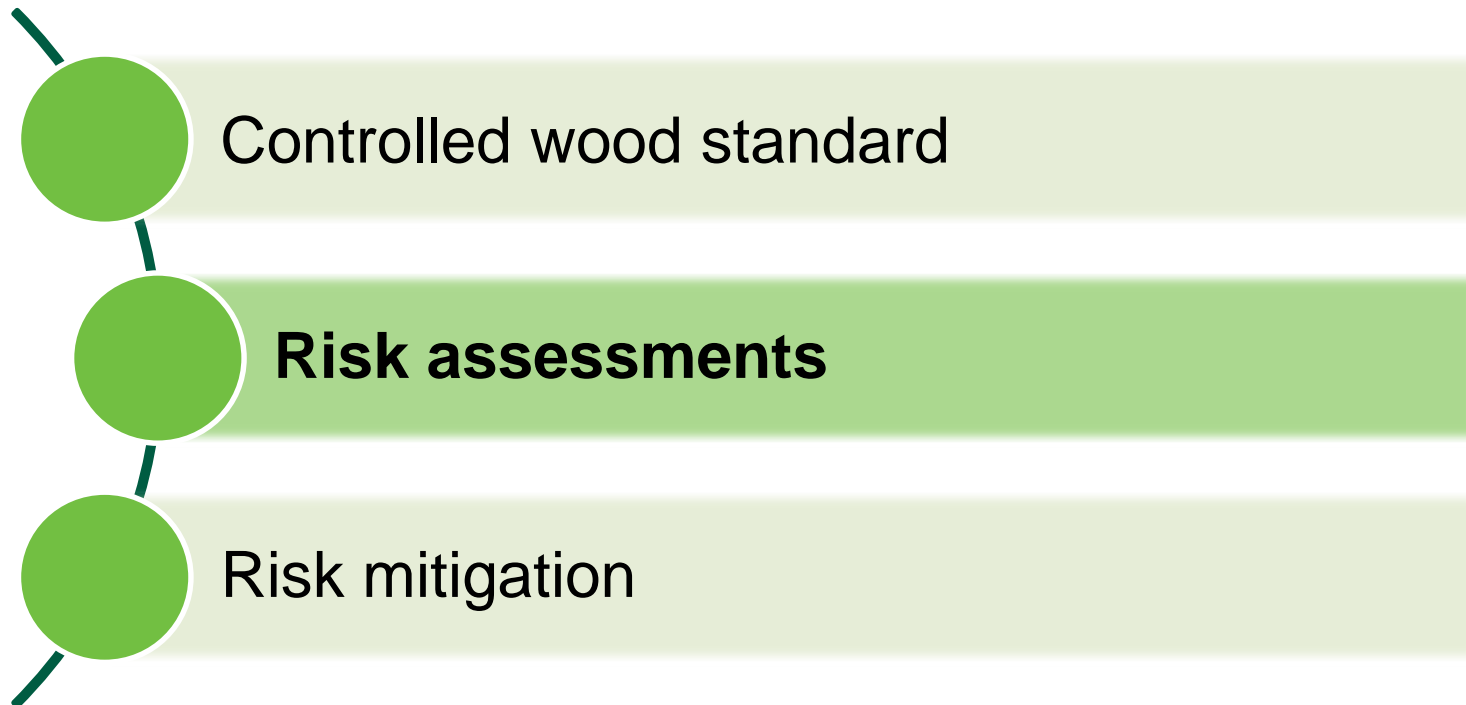
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# What is due diligence?



Information  
Risk assessment  
**Risk mitigation**



# FSC risk assessments

Reports based on detailed risk assessment indicators

- 1) National risk assessment (**NRA**): developed by Network Partner and national working group
- 2) Centralized national risk assessment (**CNRA**): developed by FSC
  - a) CNRA
  - b) CNRA + Network Partner

# FSC risk assessments

To support implementation of the standard FSC-STD-40-005, by

- Objective and uniform risk designations of sourcing areas: low or specified risk
- Replacing individual company risk assessments
- Providing same sourcing conditions for all certificate holders

## Question 1:

### Why are FSC risk assessments being developed?

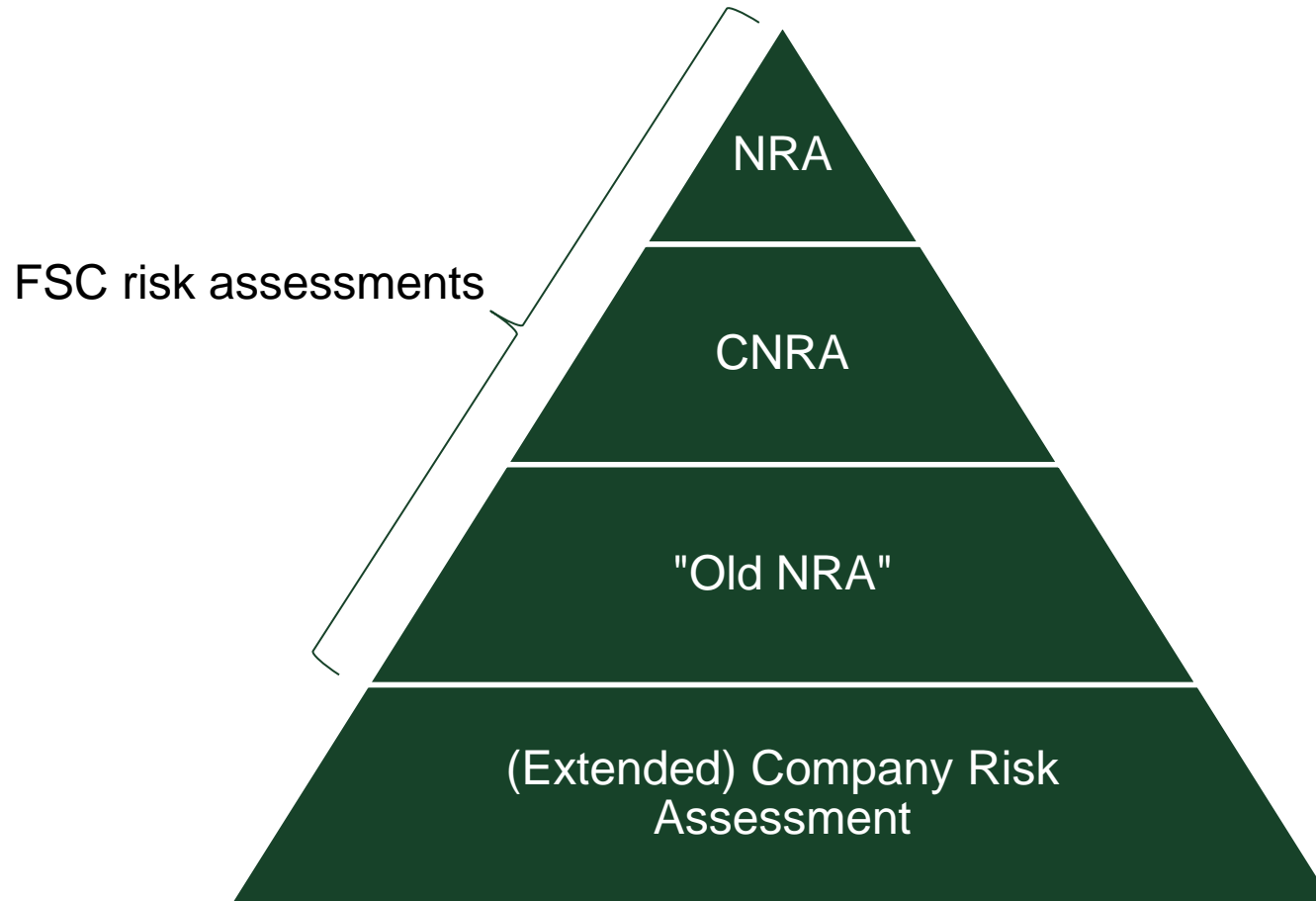
To support the implementation of the standard FSC-STD-40-005

## Question 2:

### Which type of risk assessment is developed by FSC?

Centralized National Risk Assessment (CNRA)

# Hierarchy of FSC risk assessments



# FSC risk assessment process

- FSC risk assessments conducted for 58 countries.
  - 11 approved and available on the [FSC Document Centre](#)
  - 47 ongoing and to be completed by end of 2018
- Thorough quality control by FSC
- Progress timetable and risk designations available on the [FSC risk assessment webpage](#)
- Detailed timelines agreed with network partners and/or consultants
- Different levels of engagement with national stakeholders



# Risk assessment engagement scenarios

## 1. NRA – 22 countries ongoing

### First draft



### National consultation (60 days)



### Final draft



# Risk assessment engagement scenarios

## 2. CNRA + NP – 8 countries ongoing

**First draft**



**Workshop**

No activity

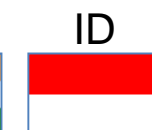
**(Second) draft**



**National consultation  
(30 days)**

No activity

**Final draft**



# Risk assessment engagement scenarios

## 3. CNRA – 17 countries ongoing

### First draft



### International consultation (30 days)

No activity

### Final draft



## Quiz question 3:

# What is the essential difference between the three engagement scenarios?

Level of FSC Network Partner (NP) engagement:

- 1) NRA: Led by NP with national stakeholder engagement including a national consultation.
- 2) CNRA + NP: Led by FSC International and supported by NP, who conducts a national consultation.
- 3) CNRA: Led by FSC International who conducts an international consultation.

# Consultation: feedback opportunity

Consultation dates in the [timetable](#) for NRA and CNRA development:

- NRA: National consultation (60 days)
  - Draft published on national FSC website
- CNRA + NP: National consultation (30 days)
  - Draft published on national FSC website
- CNRA: International consultation (30 days)
  - Draft published on international FSC website
  - Announcements of consultation dates via FSC newsletter

## Quiz question 4:

### How can you influence the findings and risk designations of a risk assessment?

By participating in consultations.

- NRA: consulted for 60 days on the national FSC website
- CNRA + NP: consulted for 30 days on the national FSC website
- CNRA: consulted for 30 days on the international FSC website



## Planned approvals

<b>NRA</b>	Ukraine
<b>CNRA + NP</b>	India <b>Approved:</b> Belgium, Belarus, Bulgaria, Estonia, Romania
<b>CNRA</b>	Lao People's Democratic Republic, Republic of Korea <b>Approved:</b> Denmark, Ireland, Latvia, Lithuania, Slovakia, <u>Viet Nam</u>

By end 2017

**25%**

\* priority countries are underlined



## Planned approvals

<b>NRA</b>	Austria, <u>Brazil</u> , <u>China</u> , <u>France</u> , Georgia, <u>Germany</u> , <u>Italy</u> , Japan, Norway, Portugal, <u>Russia</u> , South Africa, Spain, Uruguay, <u>USA</u>
<b>CNRA + NP</b>	<u>Chile</u> , Czech Republic, Finland, Guatemala, Indonesia, New Zealand, <u>Sweden</u> , Switzerland
<b>CNRA</b>	Malaysia, Myanmar, Poland, Swaziland, Turkey

**By mid 2018**  
**50%**

\* priority countries are underlined





## Planned approvals

<b>NRA</b>	<u>Canada</u> , Ecuador, Luxembourg, Netherlands, United Kingdom
<b>CNRA + NP</b>	<u>Australia</u>
<b>CNRA</b>	Argentina, Bolivia, Colombia, Honduras, Hungary, Mexico, Papua New Guinea, Peru, Slovenia

By end 2018

**25%**

\* priority countries are underlined

## Quiz question 5:

**What is the rough percentage of risk assessments that are intended to be approved in 2017?**

25% (15 of 58 countries)

## Quiz question 6:

**What is the rough percentage of risk assessments that are intended to be approved in 2018?**

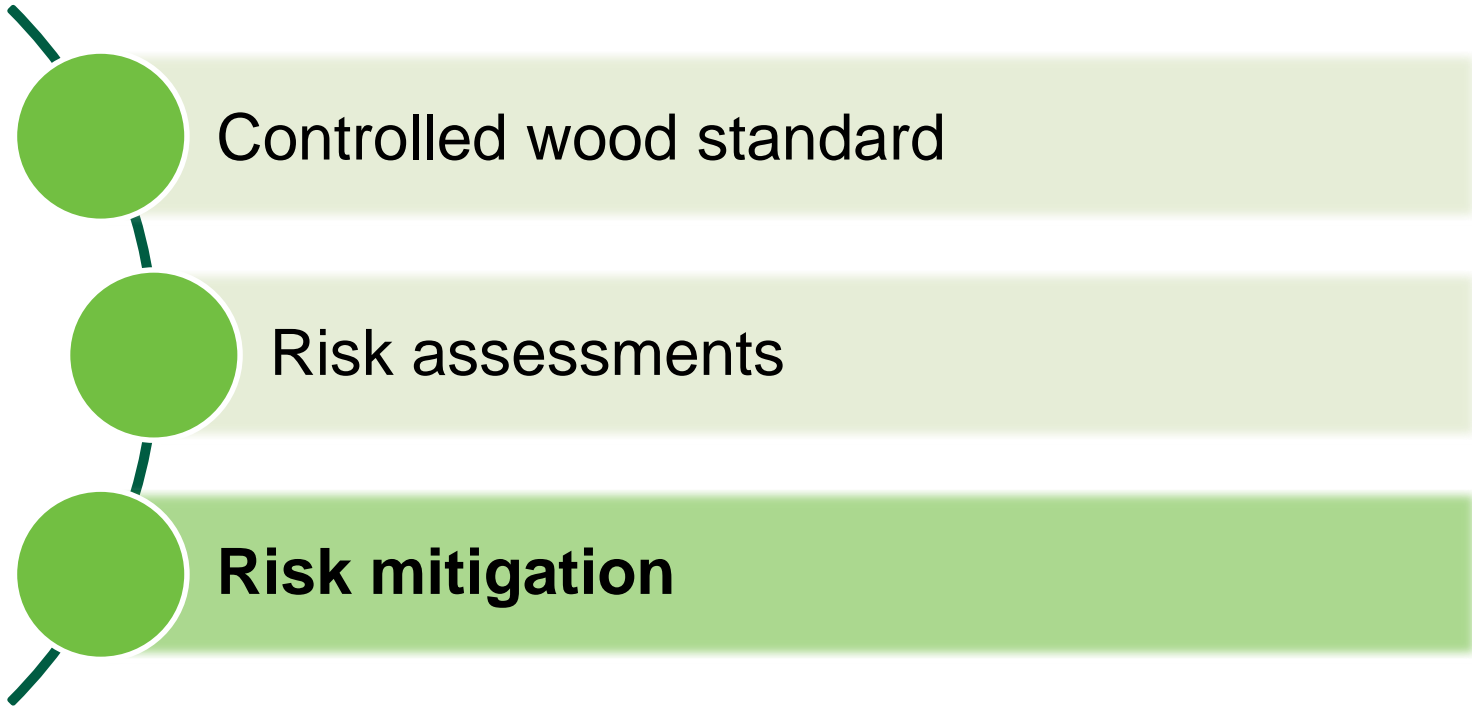
75% (43 of 58 countries)

# Using FSC risk assessments

- Six months after approval
- Possible to use NRA drafts when agreed by national consensus, or partially approved CNRAs
- Not needed for broken CoC, if not mixed in non-certified supply chain\*

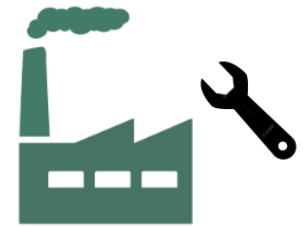
\*Relevant only for past FSC 100% and FSC controlled Wood claims





## Mitigating risk

- Through control measures (CMs)
- CMs designed by certificate holders to give flexibility in risk mitigation
- Mandatory control measures from NRA must be used
- Strict requirements for specific cases (e.g. sourcing from IFLs when no NRA)
- Important role of stakeholder consultation



**FSC invites and supports initiatives to develop joint control measures in regions**

## Transition period

- **Ends 31 December 2017**  
(2 years since publication of the standard)
- Certificates expire 30 June 2018
- If passed – CB non-compliant with applicable transition requirements



## What's next?

- Monitoring of the implementation
- 1,5 FTE at FSC to support implementation
- CW online training available since beg. of 2017  
<http://etraining.fsc.org/start/op/signup>
- Contact us for support or cooperation



# Controlled wood contacts

## FSC Risk assessments

Tatjana Stutzkowsky ([t.stutzkowsky@fsc.org](mailto:t.stutzkowsky@fsc.org)) – Process

Tania Einfeldt ([t.einfeldt@fsc.org](mailto:t.einfeldt@fsc.org)) – Contents

FSC Network Partners <http://www.fsc.org/>

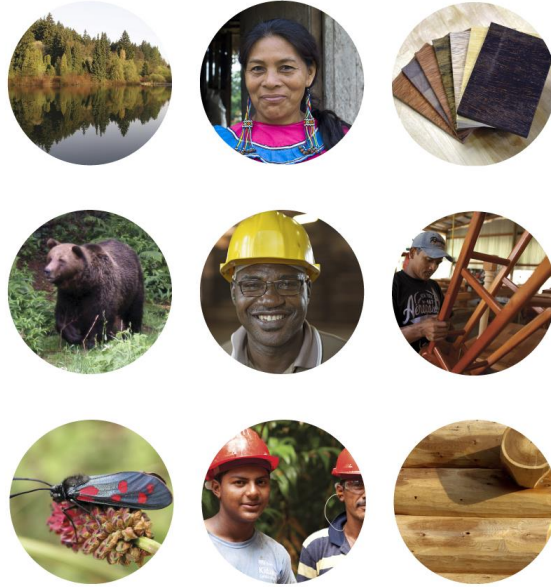
## Implementation

Manu Mattam ([m.mattam@fsc.org](mailto:m.mattam@fsc.org)) - Implementation

## Program overall

Joanna Nowakowska ([j.nowakowska@fsc.org](mailto:j.nowakowska@fsc.org)) – Program Manager





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